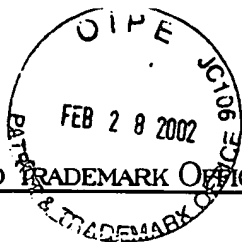




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,748	11/13/2001	Robert Byrne	5681-08000

Robert C. Kowert  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767

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ORIGINALLY FILED

CONFIRMATION NO. 2963

FORMALITIES LETTER

\*OC000000007315525\*

Date Mailed: 01/16/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$366.
  - \$198 for 11 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1236.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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03/06/2002 RNCUPLN1 030000067 501623

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02 FC:103 198.00 CH  
03 FC:102 168.00 CH  
04 FC:105 130.00 CH

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PATENT  
(5681-08000/P6369)

Search  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/010,748  
Filed: November 13, 2001  
Inventor(s):  
Robert Byrne

§ Examiner: Unknown  
§ Group/Art Unit: 2182  
§ Atty. Dkt. No: 5681-08000  
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Title: Filter-Based Attribute Value  
Access Control

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative

Signature Date Feb 15, 2002

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed January 16, 2002, there is enclosed herewith:

- ☒ An Assignment of the invention to Sun Microsystems, Inc.
- ☒ A combined Declaration and Power of Attorney executed by the inventor(s)

- ☒ A Fee Authorization Form for \$1,276.00 to cover:
  - filing fee for large entity (\$740.00)
  - surcharge for late filing of oath or declaration for large entity (\$130.00)
  - assignment fee (\$40.00)
  - extra independent claims (\$168.00)
  - extra dependent claims (\$198.00)
- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-08000/RCK.

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255  
Attorney for Applicants

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P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: Feb. 15, 2022